

Guidelines for the Doctoral Program of the Department of Finance, National Chengchi University

Passed in the department affairs meeting on December 28, 1996

First revision in the department affairs meeting on January 4, 1999

Second revision in the department affairs meeting on December 20, 1999

Third revision in the department affairs meeting on January 15, 2001

Fourth revision in the department affairs meeting on December 24, 2001

Fifth revision in the extraordinary department affairs meeting on December 16, 2002

Sixth revision in the department affairs meeting on September 25, 2006

Seventh revision in the department affairs meeting on April 7, 2008

Eighth revision in the department affairs meeting on March 4, 2013

Ninth revision in the department affairs meeting on May 11, 2015

Tenth revision in the department affairs meeting on November 23, 2015

Eleventh revision in the department affairs meeting on May 13, 2019

Twelfth revision in the department affairs meeting on March 30, 2020

- A. These Guidelines are established based on the National Chengchi University Regulations and Regulations for Degree Conferral, and serve as the basis for doctoral students.
- B. The minimum duration of study of doctoral students is three years and the maximum is seven years. Students must be in school full-time during the first year. Students who are receiving full scholarships must be in school full-time while they are still receiving the scholarship.
- C. Doctoral students must complete at least 24 credits (including 18 credits of 8 required courses and 6 credits of elective courses of the Department). Students must gain approval from the Doctoral Program Committee before selecting courses, otherwise the courses will not be included in graduation credits. See Attachment (1) for details on doctoral program courses. The credits above do not include the dissertation or any make-up courses. Students may apply for exemption from courses that they have already taken before enrolling in the doctoral program of the Department, but such exemption is limited to 6 credits.
- D. Course Requirements
 - I. The Department's Doctoral Program Committee is responsible for handling affairs of students in the doctoral program. (Details shall be separately prescribed)
 - II. Students must gain prior approval from the Doctoral Program Committee before selecting courses offered by other departments.
 - III. The Doctoral Program Committee shall decide prerequisite courses, and appoint course advisors to assist in course planning.
- E. Examination Rules

Degree examinations are divided into three stages, a subject examination, publication or acceptance (including revised and resubmit) of one paper in a category one domestic or foreign academic journal or two papers in a category two journal, and an oral defense.

 - I. Subject examinations are held every semester. Refer to the Guidelines for Implementing Ph.D. Candidacy Examinations of the Department of Finance, National Chengchi University for subjects and related examination rules. Students may only select a dissertation advisor after passing the subjects examination.
 - II. Academic journals refer to the following:

Category 1:

 - Finance: MOST A+, ATier1, ATier2 journals

- Economics: MOST Excellent, A+, A journals
- Accounting: MOST A+, ATier1, ATier2 journals
- Management: MOST A+, A journals

Category 2:

- Finance: MOST A- and B+ journals and some TSSCI journals
- Economics: MOST B journals and some TSSCI journals
- Accounting: MOST A- journals
- Management: MOST A- journals

III. Oral defense of the dissertation is divided into two stages, a preliminary oral defense and final oral defense (the standard and details shall be separately prescribed).

F. Students will be allowed to graduate if they meet the following requirements within the duration of study:

- I. Complete the required courses and credits.
- II. Passed the degree examination.
- III. English Graduation Standards: Must pass “GEPT Advanced,” “TOEFL IBT 85 points or above,” or “TOEIC 880 points or above.” If students do not meet graduation standards, they must submit proof of taking an examination, and then take the English Continued Education Course at the Foreign Language Center.

G. Other rules not included in these Guidelines shall be in accordance with NCCU regulations.

H. The Guidelines are announced and implemented after being passed or revised by the department affairs meeting. The Guidelines may be revised each academic year.

Appendix 1

Schedule of Required Courses		
Academic year \ Semester	First Semester	Second Semester
Year 1	Microeconomic theory (1) Macroeconomic theory (1) Seminar on Finance (1) Seminar on Financial Theory (1) Academic Ethics	Seminar on Financial Econometrics (The master's program course Econometrics is the prerequisite) Seminar on Financial Theory (2) Seminar on Finance (2)

Schedule of Elective Courses		
Academic year \ Semester	First Semester	Second Semester
Year 1		
Year 2	Seminar on Corporate Finance Seminar on Financial Engineering Seminar on Real Estate Finance	Seminar on Investment and Securities Market Seminar on International Financial Management

Remarks:

1. Students must gain approval from the Doctoral Program Committee before selecting courses, otherwise the courses will not be included in graduation credits.
2. Doctoral students must decide which courses to take in their second year during the second semester of their first year.
3. English Graduation Standards: Must pass “GEPT Advanced,” “TOEFL IBT 85 points or above,” or “TOEIC 880 points or above.” If students do not meet graduation standards, they must submit proof of taking an examination, and then take the English Continued Education Course at the Foreign Language Center).